

SKI CAPITAL SERVICES LIMITED

POLICY FOR CLIENT CODE MODIFICATION

The Board of the Company is of the view that as per the recent circulars of SEBI and Exchanges the Company should form a policy for client code modification. For this the Company to open an Error Account in the Back Office and upload its UCC. Mr. Narinder Wadhwa, Director designate, Ms. Nalini and Mr. Vinod Kumar Chandak, Officials of the company only are authorized for change of client code in following conditions:

1. Client Code will be changed only if there is any genuine error in punching of client code or communication error by the dealer & on written request received from clients and to be decided in committee for this purpose.
2. It will be done only in case of non institutional clients error or error within the family members.
3. It will be done only after approval of committee chaired by a Director.
4. It will be uploaded to the Exchange by Ms. Nalini or Mr. Vinod Kumar Chandak with reasons for modification.
5. In case of genuine error the trade will be moved to error account and settled from that account and will be informed to the concerned exchange in which modification has been done.
6. A register is to be maintained for code modification and every entry made in the register is to be approved by the director designate for this purpose Mr. Narinder Wadhwa.

The above policy is to be implemented immediately.