## Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending August 2025

|        | Data for every               | <u>, month enai</u>                             |                                 |                  | _         |   |   |  |
|--------|------------------------------|---|---------------------------------|------------------|-----------|---|---|--|
| S<br>N | Receive d from               | Carried<br>forward<br>from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end of the month**             |   | Average<br>Resoluti<br>on time^<br>(in days) |
|        |                              |   |                                 |                  |           | Pending<br>for<br>or less<br>than 3<br>months | Pending for<br>more<br>than 3<br>months |  |
| 1      | 2                            | 3   | 4                               | 5                | 6         |   | 7                                       | 8  |
| 1      | Directly from<br>Investors   | 0   | 0                               | 0                | 0         | 0   | 0                                       | N/A  |
| 2      | SEBI<br>(SCORE S<br>2.0)     | 1   | 0                               | 0                | 1         | 0   | 0                                       | N/A  |
| 3      | Stock<br>Exchanges           | 0   | 0                               | 0                | 0         | 0   | 0                                       | N/A  |
| 4      | Other<br>Sources (if<br>any) | 0   | 0                               | 0                | 0         | 0   | 0                                       | N/A  |
| 5      | Grand Total                  | 1   | 0                               | 0                | 1         | 0   | 0                                       | N/A  |

## Trend of monthly disposal of complaints

| S<br>N | Month       | Carried forward from previous month | Received | Resolved* | Pen<br>din<br>g** |
|--------|-------------|-------------------------------------|----------|-----------|-------------------|
|        | 2           | 3                                   | 4        | 5         | 6                 |
| 1      | Sep- 2024   | 0                                   | 0        | 0         | 0                 |
| 2      | Oct-2024    | 0                                   | 0        | 0         | 0                 |
| 3      | Nov-2024    | 0                                   | 0        | 0         | 0                 |
| 4      | Dec-2024    | 0                                   | 2        | 1         | 1                 |
| 5      | Jan-2025    | 1                                   | 0        | 1         | 0                 |
| 6      | Feb-2025    | 0                                   | 1        | 0         | 1                 |
| 7      | March-2025  | 1                                   | 0        | 1         | 0                 |
| 8      | April-2025  | 0                                   | 0        | 0         | 0                 |
| 9      | May-2025    | 0                                   | 0        | 0         | 0                 |
| 10     | June-2025   | 0                                   | 0        | 0         | 0                 |
| 11     | July-2025   | 0                                   | 0        | 0         | 0                 |
| 12     | August-2025 | 0                                   | 0        | 0         | 0                 |
|        | Grand Total | 2                                   | 3        | 3         | 0                 |

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

| SN | Year               | Carried forward from previous year | Received during the year | Received during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2021-22            | 0                                  | 0                        | 0                        | 0                              |
| 2  | 2022-23            | 0                                  | 0                        | 0                        | 0                              |
| 3  | 2023-24            | 0                                  | 0                        | 0                        | 0                              |
| 4  | 2024-25            | 0                                  | 3                        | 3                        | 0                              |
|    | <b>Grand Total</b> |                                    |                          |                          |                                |
|    |                    | 0                                  | 3                        | 3                        | 0                              |

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.